

In order to open additional account,
(1) Please clearly complete the necessary information below;
(2) The form must be signed and dated.

请按照以下步骤申请新增账户：
(1) 清晰及完整地填写下列所需资料；
(2) 于表格下方填写日期并亲笔签署。

Please submit via mail to the address noted below, email to admin@sec.rakuten.com.hk or fax to (852)2119-0117. The request will begin to be processed within 2 business days upon receipt. In order to avoid any delays please review your information carefully before submitting.

填妥后请将表格邮寄至下方的位址、电邮至 admin@sec.rakuten.com.hk 或传真至 (852)2119-0117。
账户申请将会于收到表格后两个工作日内完成处理。为避免延误，请阁下于提交表格前仔细检查已填写的资料。

To: Rakuten Securities Hong Kong Limited ("Rakuten Securities HK")
致：乐天证券香港有限公司 (「乐天证券香港」)

Account Holder(s) Information 账户持有人资料 (Please complete in FULL 必须完整填写)

Account Holder(s) Name	账户持有人名称		
Existing Account Number(s)	现有帐号		
Email Address	电邮地址		
Telephone Number	电话号码		
Residential Address (P.O.BOX may not be accepted)	住址 (不接受邮箱号码为居住地址)		
Citizenship 国籍		Place of Birth 出生地	
Are you a citizen of US? 阁下是否为美国公民?	<input type="checkbox"/> No 否 <input type="checkbox"/> Yes 是	US Social Security No. (US Resident) 美国社会安全号码 (供美国居民)	
Are you a tax resident anywhere other than Hong Kong? 您是否为除香港以外的其他国家地区的纳税居民?	<input type="checkbox"/> No 否 <input type="checkbox"/> Yes, please list 是，请注明 _____	Taxpayer Identification Number 税务编号(TIN)	

Do you have updates on the financial situation? 阁下是否需要更新财务资料?
(If "yes", please fill below 如果「有」，请填写财务资料部份) ☐ No 沒有 ☐ Yes 有

FINANCIAL INFORMATION 財務資料

1. What is your total estimated annual income? (In USD) Note¹ 阁下的年收入估计是多少? (以美元计算) 註¹					
<input type="checkbox"/> < \$25,000	<input type="checkbox"/> \$25,000-\$49,999	<input type="checkbox"/> \$50,000-\$99,999	<input type="checkbox"/> \$100,000-\$249,999	<input type="checkbox"/> \$250,000-\$1,000,000	<input type="checkbox"/> > \$1,000,000
2. Net worth (Assets minus liabilities)? (In USD) Note¹ 阁下的资产净值总和是多少? (总资产减去负债) (以美元计算) 註¹					
<input type="checkbox"/> < \$25,000	<input type="checkbox"/> \$25,000-\$49,999	<input type="checkbox"/> \$50,000-\$99,999	<input type="checkbox"/> \$100,000-\$249,999	<input type="checkbox"/> \$250,000-\$1,000,000	<input type="checkbox"/> > \$1,000,000
3. Liquid assets (Assets that can be quickly converted to cash) (In USD) 阁下的流动资产是多少? (可迅速转为现金的资产) (以美元计算)					
<input type="checkbox"/> < \$25,000	<input type="checkbox"/> \$25,000-\$49,999	<input type="checkbox"/> \$50,000-\$99,999	<input type="checkbox"/> \$100,000-\$249,999	<input type="checkbox"/> \$250,000-\$1,000,000	<input type="checkbox"/> > \$1,000,000
4. Have you declared bankruptcy in the last 10 years? If "Yes", provide date and details 过去 10 年阁下曾否宣告破产? 如果「是」，请提供日期及详情 (如需要请附信函)			<input type="checkbox"/> No 否 <input type="checkbox"/> Yes 是		

Note¹ If your annual income is less than \$25,000 or net worth is less than \$50,000, please carefully review the "Risk Warning notice" in the "Client Agreement for Foreign Exchange Trading", fully understand and you are willing to assume the risks associated with it.

註¹ 如果阁下的年收入低于\$25,000 或资产净值总和低于\$50,000，请仔细阅读「客户外汇交易协议书」的「风险披露声明书」，并理解及承担所提及之风险。

Additional Account Setting 新增账户设定

Account and Base Currency	账户及基准货币	Rakuten FX 乐天 FX	<input type="checkbox"/> HKD 港元	<input type="checkbox"/> USD 美元
(A Temporary Password Will Be Assigned To Your New Account 新账户将获分派一个临时密码)				

Funding information for New Account 资金信息

<input type="checkbox"/> New Deposit 新增存款			
<input type="checkbox"/> Transfer Funds from Existing Account 在现有账户之间转账			
Existing Account No. 支账账号		Transfer Amount 转账金额	<input type="checkbox"/> USD 美元 <input type="checkbox"/> HKD 港元 <input type="checkbox"/> All balance and close Existing Account 所有余额并取消现有交易帐户

Signature 簽署

I/We, the undersigned, hereby authorize Rakuten Securities HK to open new account per the instructions. I/We acknowledge the Rakuten Securities HK Client Agreement is a legally binding agreement. I/We have carefully read and understand a recent version of the Rakuten Securities HK Client Agreement, and I/we agree to be bound by every term and condition. I/We am/are selecting the new Account Service different to my/our existing account(s) other than my existing account, if indicated; I/we am/are agreeing to the terms and condition for this new Account Service as specified in the Rakuten Securities HK Client Agreement.

I/We am/are indicating as part of this form and process the funding instructions, if indicated, transferring account funds from my/our existing account with Rakuten Securities HK to the new account in my/our name(s) in accordance with Rakuten Securities HK's compliance and administrative procedures. I/we understand available margin must exist in order for funds to be transferred, as removal of funds may result in a margin call. I/we shall hold Rakuten Securities HK harmless of any and all claims regarding such funds transfer upon proper credit to the above named Rakuten Securities HK account.

I/We hereby represent that the information provided by me is true and correct. I/we authorize Rakuten Securities HK to update my/our Information in Rakuten Securities HK's record, if any new information is provided in this form.

The exchange rate for USD against HKD and HKD against USD used in calculating the amount to be withdrawn are USD1.00=HKD7.75 and HKD7.85=USD1.00 respectively, subject to changes in accordance with market conditions and without prior notice to client.

I/we understand that combined account statement (daily and monthly) are available on Rakuten Securities HK trading platforms. I will not receive any copies of the combined account statement via email for Rakuten FX account unless a written instruction is provided to Rakuten Securities HK.

The risk of loss in leveraged foreign exchange trading can be substantial. You may sustain losses in excess of your initial margin funds. Placing contingent orders, such as "stop-loss" or "stop-limit" orders, will not necessarily limit losses to the intended amounts. Market conditions may make it impossible to execute such orders. You may be called upon at short notice to deposit additional margin funds. If the required funds are not provided within the prescribed time, your position may be liquidated. You will remain liable for any resulting deficit in your account. You should therefore carefully consider whether such trading is suitable in light of your own financial position and investment objectives. Please read full risk warning. <https://sec.rakuten.com.hk/en/risk-warning>

本人/吾等，下述簽署，現授權樂天證券香港根據指示開立新賬戶。本人/吾等確認樂天證券香港的客戶協議書為具有法律約束力的契約性協議。本人/吾等已經仔細閱及明白最新版本的樂天證券香港的客戶協議書，並同意受其中所有條款約束。本人/吾等現選擇與現有賬戶不同的新賬戶服務，如有指示，本人/吾等同意樂天證券香港的客戶協議書中有關新賬戶服務的條款。

本人/吾等在本表格中指示，如有，根據樂天證券香港的法務及行政程序把資金從本人的樂天證券香港賬戶轉賬至本人/吾等名下的新增賬戶。本人/吾等明白賬戶內必須有足夠的資金以作轉賬之用，因資金調動有可能引致該賬戶出現追加保證金通知。若資金根據指示正確地調動到樂天證券香港賬戶內，本人/吾等將不能向樂天證券香港對有關的資金調動提出任何索償。

本人/吾等證明以上所提供的資料均屬真實及正確。本人/吾等授權樂天證券香港，就本表格中提供的新資料更新本人/吾等的在樂天證券香港記錄內的資料。

美元兌港元及港元兌美元的兌換率分別為 1 美元兌港幣 7.75 元及港幣 7.85 元兌 1 美元。樂天證券香港有權根據當時的市場行情，更改以上的兌換率而無須預先通知客戶。

本人/吾等明白可以在樂天證券香港的交易平台上查閱賬戶綜合結單（日結單及月結單）。除非本人/吾等向樂天證券香港提供書面指示，否則本人/吾等將不會以電郵方式收到樂天 FX 賬戶的結單。

槓杆式外匯交易的損耗風險可以十分重大。閣下所蒙受的損耗可能會超過閣下的初始保證金款額。即使建立附帶條件的單子，例如「止損」或「限价」單，亦未必可以將損耗限於閣下原定的金額。市況可能會令有關買賣指示無法執行。閣下可能會在短時間內被要求存入額外保證金款額。如果閣下未能在指定時間內提供所需的款額，閣下的未平倉合約可能會被平倉。閣下將須為閣下的賬戶內因此而出現的任何短欠數額負責。因此，閣下必須根據本身的財務狀況及投資目標，仔細考慮這種交易是否適合閣下。請閱讀風險警示全文。

<https://sec.rakuten.com.hk/risk-warning>

In the event of any inconsistency between the English and Chinese version, the English version shall prevail.
中文譯本僅供參考，文義如與英文本有歧異，概以英文本為準。

Primary Account Holder Signature 主要賬戶持有人親筆簽署	<input checked="" type="checkbox"/>	Date (DD/MM/YYYY) 日期 (日/月/年)	<input checked="" type="checkbox"/>
Joint Account Holder Signature 聯名賬戶持有人親筆簽署	<input checked="" type="checkbox"/>	Date (DD/MM/YYYY) 日期 (日/月/年)	<input checked="" type="checkbox"/>

DECLARATION BY LICENSED REPRESENTATIVE(S)

I have provided the latest Client Agreement (Version 49), Margin Call Policy and Procedures and Risk Disclosure Statements to the Client(s) in a language of Client(s) choice (English or Chinese) and have invited Client(s) to read the Client Agreement (Version 49), Margin Call Policy and Procedures and Risk Disclosure Statements carefully, ask questions and take independent advice if Client(s) wishes.

Reason for opening additional account : _____

Notes: _____

(INTERNAL USE Only)

Reviewed By: _____

Signature: _____

CE No.: _____